

Office of Financial Regulation
Division of Financial Institutions

Column 1: Agency Name	Column 2: F.A.C. Rule Number		Column 3: OFARR Reviewed ¹	Column 4: REVENUE RULE/Fund or Account ²						Column 5: Data Collection Rule ³	Column 6: Repeal ⁴	Column 7: Amend ⁴	Column 8: Effective on or before 11/16/2010	Column 9: Effective on or before 11/16/2010: probable 120.541(2)(a) impact ⁵	Notes (Col. 4)	Notes (Col. 5)
	69-U															
Possible Answers:			Y or N	Y or N	Y or N	Y or N	Y or N	Y or N	Y or N	Y or N	Y, N, or Exempt	Y, N, or Exempt	Y or N	NA, NP, 1, or 2		
	Financial Institutions Generally															
OFR	Scope	69U-100.002	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Definitions	69U-100.003	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Notification	69U-100.004	N	N	N	N	N	N	N	Y	N	N	Y	NP	See Exhibit 1	
OFR	Florida Control of Money Laundering in Financial Institutions	69U-100.005	N	N	N	N	N	N	N	Y	N	N	Y	NP	See Exhibit 1	
OFR	Disapproval of Directors or Executive Officers	69U-100.03852	N	N	N	Y	N	N	N	Y	N	N	Y	NP	Section 655.049, F.S.-- Financial Institutions Regulatory T.F. See Exhibit 1	
OFR	Examination Manuals and Referenced Standards	69U-100.045	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Unsafe and Unsound Examination Fees	69U-100.0451	N	N	N	N	Y	N	N	N	N	N	Y	NP	Section 655.049, F.S.-- Financial Institutions Regulatory T.F. NA	
OFR	Public Records Requests	69U-100.057	N	N	N	Y	N	N	N	N	N	N	Y	NP	Section 655.049, F.S.-- Financial Institutions Regulatory T.F. NA	
OFR	Subsidiaries Holding Institution-authorized Assets	69U-100.067	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Authority, Purpose, and Scope	69U-100.100	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Definitions Concerning Debt Cancellation Products	69U-100.101	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Prohibited Practices	69U-100.102	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Refunds	69U-100.103	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Method of Payment or Fees	69U-100.104	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Disclosures	69U-100.105	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Affirmative Election to Purchase and Acknowledgement of Receipt of Disclosures Required	69U-100.106	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Appraisals, and Appraisal Standards and Policies of State Financial Institutions	69U-100.600	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Reporting of Significant Events or Conditions	69U-100.948	N	N	N	N	Y	N	N	Y	N	N	Y	NP	Section 655.049, F.S.-- Financial Institutions Regulatory T.F. See Exhibit 1	
OFR	Conflict of Interest Policy	69U-100.956	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Automated Teller Machines and the Disclosure of Safety Information	69U-100.963	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
	Licensing and Chartering Financial Institutions															
OFR	Definitions	69U-105.001	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Scope	69U-105.101	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	

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	2a: Title, Subtitle, Chapter	2b: Number		4a: Licensure fee	4b: Transport. Tolls	4c: Other Fees	4d: Fines	4e: Tax	4f: Other Revenue							
	69-U															
OFR	Application	69U-105.102	N		N	Y	N	N	N	Y	N	N	Y	NP	Licensure Fees: Section 655.046, F.S. -- Financial Institutions Regulatory T.F.; Other fees: Section 655.049.--Financial Institutions Regulatory T.F.	See Exhibit 1
OFR	Publication of Notice of Receipt or Withdrawal of Application	69U-105.103	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Petition for Public Hearing	69U-105.104	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Publication of Notice of Hearing	69U-105.105	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Participants in Proceeding	69U-105.106	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Computation of Time	69U-105.107	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Extension of Time	69U-105.108	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Notice, Filing, Service	69U-105.109	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Post-Evidentiary Procedures	69U-105.110	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Final Orders	69U-105.111	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Record	69U-105.112	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Coordination with Federal and Other Agencies	69U-105.113	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Reconsideration	69U-105.114	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Scope	69U-105.201	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Application	69U-105.202	N	Y	N	N	N	N	N	Y	N	N	Y	NP	Section 655.046, F.S. -- Financial Institutions Regulatory T.F.	See Exhibit 1
OFR	Organization Expenses	69U-105.205	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Regulatory Standards for Evaluating Applications	69U-105.206	N	N	N	N	N	N	N	Y	N	Y	Y	NP		See Exhibit 1
OFR	Coordination with Federal Regulatory Agencies and Department of State	69U-105.207	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Relocation of Opened State Financial Institutions and Redesignation of Main Office or Branch	69U-105.208	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Opening	69U-105.209	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Standards for the Initial Offering Circular or Memorandum of State Financial Institutions	69U-105.210	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Scope	69U-105.401	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Application	69U-105.402	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Regulatory Standards for Evaluating Applications	69U-105.405	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Relocation of Established Branch Offices, Main Office or Branch Office	69U-105.406	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1

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OFR	Branch Office Closing	69U-105.407	N	N	N	N	N	N	N	Y	N	N	Y	NP	See Exhibit 1	
OFR	Timeframes for Processing	69U-105.408	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Mobile Banking Units, Account Service Representatives, and Courier Services	69U-105.409	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Scope	69U-105.501	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Procedural Rules for Processing Applications	69U-105.502	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Application	69U-105.503	N	N	N	N	N	N	N	Y	N	N	Y	NP	See Exhibit 1	
OFR	Regulatory Standards for Evaluating Applications	69U-105.505	N	N	N	N	N	N	N	Y	N	N	Y	NP	See Exhibit 1	
OFR	Final Order	69U-105.506	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Deposit of Securities with Chief Financial Officer	69U-105.507	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Scope	69U-105.601	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Compliance with Applicable Federal Laws	69U-105.602	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Merger Applications	69U-105.603	N	N	N	N	N	N	N	Y	N	N	Y	NP	See Exhibit 1	
OFR	Examination of Federal Financial Institutions	69U-105.605	N	N	N	Y	N	N	N	Y	N	N	Y	NP	Section 655.049, F.S. -- Financial Institutions Regulatory T.F. See Exhibit 1	
OFR	Main Offices, Branch Offices, and Trust Departments of Constituent Financial Institutions	69U-105.606	N	N	N	N	N	N	N	Y	N	N	Y	NP	See Exhibit 1	
OFR	Approval by OFR	69U-105.607	N	N	N	N	N	N	N	Y	N	N	Y	NP	See Exhibit 1	
OFR	Successor Institution	69U-105.608	N	N	N	N	N	N	N	Y	N	N	Y	NP	See Exhibit 1	
OFR	Coordination with Federal Regulatory Agencies	69U-105.609	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Certificate of Merger	69U-105.610	N	N	N	N	N	N	N	N	N	N	Y	NP	NA	
OFR	Purchase and Assumption Applications	69U-105.611	N	N	N	N	N	N	N	Y	N	N	Y	NP	See Exhibit 1	

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OFR	Scope	69U-105.701	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Procedural Rules for Processing Applications	69U-105.702	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Application	69U-105.703	N	N	N	Y	N	N	N	Y	N	N	Y	NP	Section 655.049, F.S. -- Financial Institutions Regulatory T.F.	See Exhibit 1
OFR	Resulting Financial Entity's Capital Structure and Management	69U-105.706	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Termination of Nonconformance	69U-105.707	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Cancellation of Charter Certificates	69U-105.708	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
	State Credit Unions															
OFR	Application and Filing Fee	69U-110.005	N	Y	N	N	N	N	N	Y	N	Y	Y	NP	Section 655.049, F.S. -- Financial Institutions Regulatory T.F.	See Exhibit 1
OFR	Bylaws	69U-110.0061	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Branches	69U-110.008	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Filling of Appointments	69U-110.021	N	N	N	N	N	N	N	Y	N	Y	Y	NP		See Exhibit 1
OFR	Liability and Bond Insurance	69U-110.0211	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Policies	69U-110.0212	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Supervisory/Audit Committee; Audit	69U-110.026	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Powers	69U-110.031	N	N	N	N	N	N	N	N	N	Y	Y	NP		NA
OFR	Loans Secured by Real Estate	69U-110.0381	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Reserves	69U-110.043	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Reports to OFR	69U-110.045	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1

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	69-U															
OFR	Credit Union Assessments	69U-110.053	N	N	N	Y	Y	N	N	N	N	N	Y	NP	Other fees and Fines - Section 655.049, F.S., - Financial Institutions Regulatory T.F.	NA
OFR	Capitalization Criteria for Conservatorship or Involuntary Liquidation Actions	69U-110.062	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Credit Unions Liquidation Certificate and Maintenance of Records	69U-110.063	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Merger	69U-110.065	N	N	N	Y	N	N	N	Y	N	N	Y	NP	Section 655.049, F.S. -- Financial Institutions Regulatory Trust Fund	See Exhibit 1
OFR	Conversion	69U-110.066	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
	Banks, Trust Companies, Savings Banks and Associations												Y			
OFR	List of Stockholders	69U-120.001	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Stock Options; Requirements for OFR Approval; Stock Bonuses Prohibited	69U-120.003	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Loans Secured by Secondary Liens on Real Estate	69U-120.004	N	N	N	N	N	N	N	N	N	Y	Y	NP		NA
OFR	Lease Financing; Purchase of Leases	69U-120.005	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Undivided Profits; Loan and Investment Limitations	69U-120.006	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Borrowing - Obligations to Repurchase Certain Securities Sold	69U-120.008	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Securities Purchasable by Banks; Investment Characteristics	69U-120.009	N	N	N	N	N	N	N	N	N	Y	Y	NP		NA
OFR	Minimum Internal Audit Procedures	69U-120.045	N	N	N	N	N	N	N	Y	N	Y	Y	NP		See Exhibit 1
OFR	Financial Reports	69U-120.0451	N	N	N	N	Y	N	N	Y	N	N	Y	NP	Section 655.049, F.S. -- Financial Institutions Regulatory T.F.	See Exhibit 1
OFR	Investments/Deposits in Foreign Banks	69U-120.670	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Bank and Trust Company Assessments	69U-120.730	N	N	N	Y	Y	N	N	N	N	N	Y	2	Other Fees & Fines: Section 655.049, F.S. -- The Financial Institutions Regulatory T.F.	NA
	International Banks															
OFR	Applications	69U-140.002	N	Y	N	N	N	N	N	Y	N	N	Y	NP	Section 655.049, F.S. -- Financial Institutions Regulatory T.F.	See Exhibit 1

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	69-U															
OFR	Principles of Adequate Supervision of an International Banking Corporation's Foreign Establishments	69U-140.003	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Application for the Establishment of Separate International Banking Agencies by a Qualified International Banking Corporation	69U-140.004	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Surrender of Agency License	69U-140.005	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Investment Services	69U-140.006	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Permissible Activities for an Agency	69U-140.008	N	N	N	N	N	N	N	N	N	Y	Y	NP		NA
OFR	Capital Equivalency or Asset Maintenance	69U-140.015	N	N	N	N	Y	N	N	Y	N	Y	Y	NP	Section 655.049, F.S. -- Financial Institutions Regulatory T.F.	See Exhibit 1
OFR	Acceptances	69U-140.017	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Minimum Audit Procedures - International Bank Agencies	69U-140.018	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Financial Reports	69U-140.019	N	N	N	N	Y	N	N	Y	N	N	Y	NP	Section 655.049, F.S. -- The Financial Institutions Regulatory T.F.	See Exhibit 1
OFR	Semiannual Assessment	69U-140.020	N	N	N	Y	N	N	N	N	N	N	Y	2 NP	Section 655.049, F.S. -- Financial Institutions Regulatory T.F.	NA
OFR	Service of Process	69U-140.022	N	N	N	N	N	N	N	N	N	N	Y	NP		NA
OFR	Reciprocity	69U-140.023	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Examination Fees	69U-140.120	N	N	N	Y	N	N	N	N	N	N	Y	NP	Section 655.049, F.S. -- Financial Institutions Regulatory Trust Fund	NA
	Savings Associations												Y			
OFR	Association Assessments	69U-150.730	N	N	N	Y	Y	N	N	N	6/30/2012	N	Y	NA	Other Fees and Fines: Section 655.049, F.S. -- Financial Institutions Regulatory T.F.	NA