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Miscellaneous

**DEPARTMENT OF FINANCIAL SERVICES**

**Securities**

**2011 Rule Review - Final Report**

RULE NO.: RULE TITLE:

[69W-600.0132](#): Custody Requirements for Investment Advisers

[69W-600.015](#): Financial Reporting Requirements - Statement of Financial Condition - Dealers and Investment Advisers

[69W-600.016](#): Net Capital Requirements for Dealers and Investment Advisers

The Financial Services Commission, Office of Financial Regulation, has published the Final Report for its Group 1 rules, pursuant to Section 120.745, F.S. The Report was first published on the Office's website on November 26, 2012, and may be accessed at [http://www.flofr.com/2011 Rule Review](http://www.flofr.com/2011_Rule_Review).

Interested parties may contact French Brown, Office of Financial Regulation, 200 E. Gaines St., Suite 526, Tallahassee, FL 32399, Fax: (850) 410-9663, E-mail: [French.Brown@flofr.com](mailto:French.Brown@flofr.com).