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DREW J. BREAKSPEAR
COMMISSIONER

April 23, 2013

The Honorable Rene Garcia, Alternating Chair
The Honorable Mike Fasano, Alternating Chair
Joint Administrative Procedures Committee
680 Pepper Building
Tallahassee, Florida 32399-1400
Attention: Ken Plante, Coordinator

RE: Certification of Completion of Compliance Economic Review, Group 2 Rules, per Section 120.745(5), Florida Statutes

Dear Chairs Garcia and Fasano:

Please accept this letter as certification that the Office of Financial Regulation has completed its Compliance Economic Reviews for its Group 2 rules as required by Section 120.745(5), Florida Statutes. The review is published on the Office's website at:

http://www.flofr.com/2011_Rule_review/default.htm

In the Office's enhanced biennial report, dated December 1, 2011, the Office identified five rules requiring compliance economic review. Three of the rules were selected as Group 1 and were certified on April 18, 2012:

- 69W-600.132: Custody Requirements for Investment Advisers
- 69W-600.015: Financial Reporting Requirements - Statement of Financial Condition Dealers and Investment Advisers
- 69W-600.016: Net Capital Requirements for Dealers and Investment Advisers

Enclosed are the Compliance Economic Reviews for the remaining two rules selected as Group 2 rules:

- 69U-120.730: Bank and Trust Company Assessments
- 69U-140.020: Semi-annual Assessments

Respectfully,

Drew J. Breakspear
Commissioner

Enclosures