

**OFFICE OF FINANCIAL REGULATION  
FINANCE REGULATORY STATISTICS**

<b>APPLICATIONS RECEIVED</b>												
<b>Code</b>	<b>LICENSE TYPE</b>	<b>FISCAL YEAR</b>										
		<b>00-01</b>	<b>01-02</b>	<b>02-03</b>	<b>03-04</b>	<b>04-05</b>	<b>05-06</b>	<b>06-07</b>	<b>07-08</b>	<b>08-09</b>	<b>09-2010</b>	<b>2010-2011</b>
CCA	Consumer Collection Agency	222	258	314	358	316	312	357	357	450	473	610
CF	Consumer Finance	318	44	136	82	48	72	192	30	52	32	175
CL	Correspondent Mortgage Lender	385	481	588	788	888	1,024	864	389	240	60	7
CLB	Correspondent ML-Branch	519	545	779	1,266	1,631	1,589	1,481	451	500	212	71
COM	Commercial Collection Agency	24	32	28	39	28	32	40	28	46	55	82
HI	Home Improvement Finance Seller	47	46	27	29	27	32	33	42	74	13	36
HIB	Home Improvement-Branch	0	1	2	1	0	0	6	1	0	0	0
LO (NMLS)	Loan Originator	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	13,938
MBR (NMLS)	Mortgage Broker	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	1,186
MBRB (NMLS)	Mortgage Broker Branch	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	148
MLD (NMLS)	Mortgage Lender	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	588
MLDB (NMLS)	Mortgage Lender Branch	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	921
MLD w/ servicer endorsement	Mortgage Lender Servicer	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	251
MLDB w/ servicer endorsement	Mortgage Lender Servicer Branch	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	326
MB	Mortgage Broker	4,960	9,024	13,457	17,098	19,710	25,585	18,649	5,783	2,037	8,405	2,376
MBB	Mortgage Broker Business	926	1,117	1,217	1,606	2,214	2,628	2,361	1,024	682	369	21
MBBB	Mortgage Broker Business-Branch	94	89	156	225	350	415	361	109	52	23	4
MBS	Mortgage Business School								8	33	16	0
ML	Mortgage Lender	168	148	195	270	316	326	246	162	138	124	6
MLB	Mortgage Lender-Branch	455	514	1,591	1,353	1,458	1,403	1,472	445	503	549	120
MLS	Mortgage Lender Saving Clause	0	0	0	0	0	0	0	0	0	0	0
MLSB	Mortgage Lender Saving Clause-Branch								2	1	2	1
MLST	Transfer-Saving Clause Lender	10	2	5	2	12	8	7	0	2	2	0
MLTB	Transfer Saving Clause Lender-Branch	32	10	16	24	71	9	69	9	10	8	1
MV	Motor Vehicle Retail Seller	877	767	738	812	788	762	818	863	662	665	942
MVB	Motor Vehicle-Branch	89	27	67	53	71	44	109	42	40	17	56
RS	Retail Seller	877	673	796	911	977	991	825	616	370	233	376
RSB	Retail Seller-Branch	566	242	458	186	303	217	354	84	118	186	163
SF	Sales Finance Company	104	105	100	139	117	108	115	113	124	107	129
SFB	Sales Finance Company-Branch	274	59	103	82	43	59	45	20	4	6	1
	<b>TOTAL</b>	<b>10,947</b>	<b>14,184</b>	<b>20,773</b>	<b>25,324</b>	<b>29,368</b>	<b>35,616</b>	<b>28,404</b>	<b>10,578</b>	<b>6,134</b>	<b>11,557</b>	<b>22,535</b>
<b>APPLICATIONS APPROVED</b>												
<b>Code</b>	<b>LICENSE TYPE</b>	<b>FISCAL YEAR</b>										
		<b>00-01</b>	<b>01-02</b>	<b>02-03</b>	<b>03-04</b>	<b>04-05</b>	<b>05-06</b>	<b>06-07</b>	<b>07-08</b>	<b>08-09</b>	<b>09-2010</b>	<b>2010-2011</b>
CCA	Consumer Collection Agency	222	251	294	279	276	264	317	333	396	349	461
CF	Consumer Finance	228	136	125	76	43	64	95	29	18	19	137
CL	Correspondent Mortgage Lender	354	441	515	712	778	924	809	354	150	61	11

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CLB	Correspondent ML-Branch	492	555	729	1,065	1,374	1,324	1,480	767	391	170	68
COM	Commercial Collection Agency	24	26	28	29	20	27	30	23	35	36	57
HI	Home Improvement Finance Seller	45	47	21	25	25	30	26	33	44	8	20
HIB	Home Improvement-Branch	0	1	1	2	0	0	0	0	0	0	0
LO (NMLS)	Loan Originator	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	7,987
MBR (NMLS)	Mortgage Broker	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	694
MBRB (NMLS)	Mortgage Broker Branch	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	41
MLD (NMLS)	Mortgage Lender	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	309
MLDB (NMLS)	Mortgage Lender Branch	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	204
MLD w/ servicer endorsement	Mortgage Lender Servicer	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	122
MLDB w/ servicer endorsement	Mortgage Lender Servicer Branch	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	105
MB	Mortgage Broker	3,422	6,588	10,396	12,453	13,228	16,733	13,571	5,301	1,236	3,616	2,324
MBB	Mortgage Broker Business	863	1,089	1,082	1,536	1,983	2,436	2,243	1,042	384	226	53
MBBB	Mortgage Broker Business-Branch	75	86	139	195	289	321	322	95	45	15	2
MBS	Mortgage Business School								2	18	11	0
ML	Mortgage Lender	150	131	164	234	256	280	225	142	66	76	26
MLB	Mortgage Lender-Branch	391	554	1,563	1,149	1,191	1,144	1,322	894	327	522	140
MLS	Mortgage Lender Saving Clause	0	0	0	0	0	0	0	0	0	0	0
MLSB	Mortgage Lender Saving Clause-Branch								2	1	2	1
MLST	Transfer-Saving Clause Lender	10	3	4	3	10	5	4	3	0	0	1
MLTB	Transfer Saving Clause Lender-Branch	27	14	16	16	67	7	37	52	10	8	1
MV	Motor Vehicle Retail Seller	853	742	707	754	657	725	776	813	563	524	609
MVB	Motor Vehicle-Branch	47	44	54	26	46	33	52	61	29	8	23
RS	Retail Seller	825	666	722	812	795	833	661	533	349	122	165
RSB	Retail Seller-Branch	571	246	431	178	229	226	251	163	111	183	138
SF	Sales Finance Company	96	101	90	136	98	90	93	107	86	84	88
SFB	Sales Finance Company-Branch	171	154	95	80	40	55	45	32	4	6	14
	TOTAL	8,866	11,875	17,176	19,760	21,405	25,521	22,359	10,781	4,263	6,046	24,168



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COM	Commercial Collection Agency	77	82	81	77	100	167	107	120	126	125	103
HI	Home Improvement Finance Seller	288	0	152	0	120	1	112	0	107	0	67
HIB	Home Improvement-Branch	4	0	0	0	0	0	0	0	0	0	0
LO (NMLS)	Loan Originator	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0
MBR (NMLS)	Mortgage Broker	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0
MBRB (NMLS)	Mortgage Broker Branch	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0
MLD (NMLS)	Mortgage Lender	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0
MLDB (NMLS)	Mortgage Lender Branch	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0
MLD w/ servicer endorsement	Mortgage Lender Servicer	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0
MLDB w/ servicer endorsement	Mortgage Lender Servicer Branch	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0
MB	Mortgage Broker	493	24,324	551	31,025	913	51,943	744	56,413	3,510	33,554	511
MBB	Mortgage Broker Business	3,013	0	3,626	0	5,093	0	7,573	0	4,418	0	0
MBBB	Mortgage Broker Business-Branch	177	0	246	0	390	0	599	0	295	0	0
MBS	Mortgage Business School								0	52	43	0
ML	Mortgage Lender	535	0	594	0	858	0	1,138	0	830	0	0
MLB	Mortgage Lender-Branch	935	0	1,079	0	2,377	0	3,085	0	1,719	0	0
MLS	Mortgage Lender Saving Clause	186	0	161	0	146	0	125	0	99	0	0
MLSB	Mortgage Lender Saving Clause-Branch								0	22	0	0
MLST	Transfer-Saving Clause Lender	62	0	56	0	53	0	54	0	39	0	0
MLTB	Transfer Saving Clause Lender-Branch	42	0	16	0	30	0	60	0	51	0	0
MV	Motor Vehicle Retail Seller	3,645	6	3,203	0	3,460	13	3,509	0	3,496	3	2,401
MVB	Motor Vehicle-Branch	189	0	167	0	188	2	183	0	148	0	80
RS	Retail Seller	4,850	7	2,908	0	3,185	6	3,373	0	2,945	2	921
RSB	Retail Seller-Branch	2,654	7	2,038	0	2,255	153	2,010	0	1,516	1	1,261
SF	Sales Finance Company	508	1	427	0	484	1	489	0	466	1	451
SFB	Sales Finance Company-Branch	468	0	508	0	488	0	505	0	312	1	210
	TOTAL	20,856	25,109	19,224	31,782	25,488	53,129	30,606	81,951	24,481	34,761	7,188