

**GOVERNOR RICK SCOTT**  
**WEEKLY EOG AGENCY REPORT**  
**OFFICE OF FINANCIAL REGULATION**  
**WEEK ENDING July 12, 2012**

**Successes for Week Ending July 12, 2012**

**1. Licensing and Registration**

The Division of Securities received 757 applications, approved 708 applications, and 2 applications were denied or withdrawn.

The Division of Consumer Finance received 156 applications, approved 119 applications, and 8 applications were denied or withdrawn.

**2. Regulatory Sanctions**

On June 22, 2012, the Division of Securities entered a Final Order against James Arthur Ponder for misappropriating money from a customer's account by forgery of signatures and for borrowing money from a customer's account without the required pre-approval from the employing firm. Mr. Ponder was permanently barred from seeking registration in the state of Florida under the Florida Securities and Investor Protection Act, Chapter F. S.

**New Issues**

No new issues to report this week.