

GOVERNOR RICK SCOTT
WEEKLY EOG AGENCY REPORT
OFFICE OF FINANCIAL REGULATION
WEEK ENDING August 9, 2012

Successes for Week Ending August 9, 2012

1. Licensing and Registration

The Division of Securities received 1,139 applications, approved 1,096 applications, and 7 applications were denied or withdrawn.

The Division of Consumer Finance received 630 applications, approved 601 applications, and 10 applications were denied or withdrawn.

2. Enforcement Results

An investigation by BFI resulted in an order against Jack A. Arnold. He was ordered to cease and desist from the sale of unregistered securities and fined \$255,000. The investigation found he sold \$4.8 million of unregistered securities to 68 Florida residents.

New Issues

No new issues to report this week.