

**OFFICE OF FINANCIAL REGULATION
PREOPENING EXAMINATION EXHIBITS**
To be provided to management at first meeting

- A. Assurance Letter sample
- B. Management Information Systems Checklist
- C. Organizational Board Meeting Topics
- D. Uniform Financial Institutions Rating System Guidelines
- E. Joint Agency Policy Statement on Interest Rate Risk
- F. Internet Web Site Addresses
- G. Officer's Questionnaire and Statement of Assets and Liabilities
- H. Questionnaires – E Banking, OFAC, BSA, Privacy
- I. Statutes relating to telephone polling, significant events
- J. FILs
- K. Director's Handbook (blue booklet)
- L. Director's Oath
- M. Due Dates Checklist