

**OFFICE OF FINANCIAL REGULATION
SECURITIES OFFERINGS STATISTICS**

NOTICE FILINGS													
	98-99	99-00	00-01	01-02	02-03	03-04	04-05	05-06	06-07	07-08	08-09	09-10	10-11
Received	275	225	189	180	148	185	169	184	159	120	68	98	116
Approved	221	184	154	133	126	142	137	148	140	118	79	77	115
Withdrawn	83	58	52	41	26	22	45	30	36	76	17	6	18
Denied	2	1	18	1	4	0	0	0	0	0	0	0	0
INTRA-STATE FILINGS													
	98-99	99-00	00-01	01-02	02-03	03-04	04-05	05-06	06-07	07-08	08-09	09-10	10-11
Received	11	22	16	8	5	9	14	3	5	4	1	5	9
Approved	3	3	3	2	2	2	4	2	2	2	2	2	2
Withdrawn	9	13	17	10	2	1	14	3	1	3	1	1	7
Denied	0	0	0	0	2	0	0	0	0	0	0	0	0