

GOVERNOR RICK SCOTT

WEEKLY AGENCY REPORT

OFFICE OF FINANCIAL REGULATION

WEEK ENDING MAY 8, 2014

Successes for Week Ending May 8, 2014

1. Licensing and Registration

- Division of Securities
 - Applications Received: 1,134
 - Applications Approved: 1,079
 - Applications Denied/Withdrawn: 15

- Division of Consumer Finance
 - Applications Received: 212
 - Applications Approved: 282
 - Applications Denied/Withdrawn: 16

- Division of Financial Institutions
 - Applications Received: 1
 - Applications Approved: 1
 - Applications Denied/Withdrawn: 0

New Issues

1. Outreach Activities

On May 1, 2014, the OFR issued a press release on arrests made in an Orlando investment fraud scheme.

[Press Release: Arrests Made in Orlando Investment Fraud Scheme](#)

On May 1, 2014, the OFR issued a press release celebrating Putting Investors First Month.

[Press Release: Florida Office of Financial Regulation Celebrates Putting Investors First Month](#)

On May 1, 2014, the OFR issued a press release announcing the passage of OFR legislative priorities.

[Press Release: New legislation a win for consumers](#)