

GOVERNOR RICK SCOTT

WEEKLY AGENCY REPORT

OFFICE OF FINANCIAL REGULATION

WEEK ENDING MAY 29, 2014

Successes for Week Ending May 29, 2014

1. Licensing and Registration

- Division of Securities
 - Applications Received: 995
 - Applications Approved: 957
 - Applications Denied/Withdrawn: 5

- Division of Consumer Finance
 - Applications Received: 228
 - Applications Approved: 275
 - Applications Denied/Withdrawn: 30

- Division of Financial Institutions
 - Applications Received: 1
 - Applications Approved: 5
 - Applications Denied/Withdrawn: 0

New Issues

1. Communications and Outreach Activities

On May 28, 2014, members of the Division of Securities conducted an interview with the New York Times on Florida financial adviser registration process, cases, trends and outreach to senior citizens.

On May 28, 2014, OFR Commissioner Drew J. Breakspear conducted an on-camera interview via Skype with Mike Holfeld of WKMG-TV Orlando on financial phishing email scams.