

GOVERNOR RICK SCOTT

WEEKLY AGENCY REPORT

OFFICE OF FINANCIAL REGULATION

WEEK ENDING August 28, 2014

Successes for Week Ending August 28, 2014

1. Licensing and Registration

- Division of Securities
 - Applications Received: 1,157
 - Applications Approved: 1,117
 - Applications Denied/Withdrawn: 15

- Division of Consumer Finance
 - Applications Received: 382
 - Applications Approved: 424
 - Applications Denied/Withdrawn: 22

- Division of Financial Institutions
 - Applications Received: 3
 - Applications Approved: 5
 - Applications Denied/Withdrawn: 0

2. Regulatory Actions

Five Year Bar and \$3,000 Fine Against an Unregistered Associated Person

On August 22, 2014, the Division of Securities entered a Final Order against Edwin Andres Bejarano for offering and selling unregistered securities and for engaging in securities transactions in Florida without being registered.

Mr. Bejarano is barred for five years from affiliating or seeking future registration with a dealer, investment adviser or associated person under the Florida Securities and Investor Protection Act, Chapter 517, F.S. A \$3,000 administrative fine was paid.

New Issues

No new issues to report this week.