

# GOVERNOR RICK SCOTT

## WEEKLY AGENCY REPORT

### OFFICE OF FINANCIAL REGULATION

WEEK ENDING OCTOBER 2, 2014

#### Successes for Week Ending October 2, 2014

##### 1. Licensing and Registration

- Division of Securities
  - Applications Received: 1,085
  - Applications Approved: 1,082
  - Applications Denied/Withdrawn: 17
  
- Division of Consumer Finance
  - Applications Received: 304
  - Applications Approved: 305
  - Applications Denied/Withdrawn: 16
  
- Division of Financial Institutions
  - Applications Received: 4
  - Applications Approved: 1
  - Applications Denied/Withdrawn: 0

##### 2. Regulatory Sanctions

###### Final Order for \$25,000 Fine Against Mortgage Lender for Unlicensed Activity

On September 29, 2014, the Office entered a Final Order against Mortgage Access Corp., for engaging in mortgage lending activities in Florida without being licensed. An administrative fine of \$25,000 was paid and licensure was granted.

#### New Issues

##### 1. Communications and Outreach Activities

On October 1, 2014, the OFR issued a consumer alert on the unauthorized use of OFR information and branding.

[Consumer Alert: Unauthorized Use of OFR Information and Branding](#)