

GOVERNOR RICK SCOTT

WEEKLY AGENCY REPORT

OFFICE OF FINANCIAL REGULATION

WEEK ENDING OCTOBER 10, 2013

Successes for Week Ending October 10, 2013

1. Licensing and Registration

- Division of Securities
 - Applications Received: 1,096
 - Applications Approved: 1,081
 - Applications Denied/Withdrawn: 10

- Division of Consumer Finance
 - Applications Received: 685
 - Applications Approved: 684
 - Applications Denied/Withdrawn: 27

- Division of Financial Institutions
 - Applications Received: 12
 - Applications Approved: 2
 - Applications Denied/Withdrawn: 0

New Issues

1. Outreach Activities

Commissioner Drew J. Breakspear presented an overview of the status of the banking and mortgage industries to the Florida House of Representatives' Subcommittee on Banking and Insurance.