

# GOVERNOR RICK SCOTT

## WEEKLY AGENCY REPORT

### OFFICE OF FINANCIAL REGULATION

WEEK ENDING OCTOBER 17, 2013

#### Successes for Week Ending October 17, 2013

##### 1. Licensing and Registration

- Division of Securities
  - Applications Received: 996
  - Applications Approved: 1,010
  - Applications Denied/Withdrawn: 9
  
- Division of Consumer Finance
  - Applications Received: 362
  - Applications Approved: 366
  - Applications Denied/Withdrawn: 11
  
- Division of Financial Institutions
  - Applications Received: 4
  - Applications Approved: 1
  - Applications Denied/Withdrawn: 0

#### New Issues

##### 1. Outreach Activities

On October 6-8, 2013, a member of the Division of Consumer Finance attended the 2013 Annual North American Collection Agency Regulatory Association (NACARA) Conference in Boston, MA. A record 49 attendees comprised of regulators including representatives from the Consumer Financial Protection Bureau (CFPB) attended. Topics covered the areas of debt buying, third part debt collectors, and state and federal regulations.

On October 7-9, 2013, the Division of Financial Institutions conducted its annual training conference in Orlando. The training conference covered industry topics including, mobile banking, Dodd Frank regulatory implementation, regulatory examination best practices and other regulatory and industry topics and trends. The conference was attended by 90 staff members from across the state. The topic presenters included representatives from the Federal Deposit Insurance Corporation (FDIC), Conference of State Bank Supervisors, and multiple internal speakers from the Division of Financial Institutions. The conference and its material were well received based on survey results submitted by the conference attendees.