

GOVERNOR RICK SCOTT

WEEKLY AGENCY REPORT

OFFICE OF FINANCIAL REGULATION

WEEK ENDING OCTOBER 20, 2016

Successes for Week Ending October 20, 2016

1. Licensing and Registration

- Division of Securities
 - Applications Received: 1,021
 - Applications Approved: 1,000
 - Applications Denied/Withdrawn: 9

- Division of Consumer Finance
 - Applications Received: 173
 - Applications Approved: 250
 - Applications Denied/Withdrawn: 18

- Division of Financial Institutions
 - Applications Received: 1
 - Applications Approved: 1
 - Applications Denied/Withdrawn: 0

New Issues

No new issues to report this week.