

GOVERNOR RICK SCOTT

WEEKLY AGENCY REPORT

OFFICE OF FINANCIAL REGULATION

WEEK ENDING OCTOBER 27, 2016

Successes for Week Ending October 27, 2016

1. Licensing and Registration

- Division of Securities
 - Applications Received: 1,092
 - Applications Approved: 1,064
 - Applications Denied/Withdrawn: 11

- Division of Consumer Finance
 - Applications Received: 205
 - Applications Approved: 222
 - Applications Denied/Withdrawn: 14

- Division of Financial Institutions
 - Applications Received: 5
 - Applications Approved: 0
 - Applications Denied/Withdrawn: 0

New Issues

No new issues to report this week.