

**GOVERNOR RICK SCOTT**  
**WEEKLY EOG AGENCY REPORT**  
**OFFICE OF FINANCIAL REGULATION**  
**WEEK ENDING NOVEMBER 15, 2012**

**Successes for Week Ending November 15, 2012**

**1. Licensing and Registration**

The Division of Securities received 1043 applications and approved 1035 applications; 5 applications were denied or withdrawn.

The Division of Consumer Finance received 229 applications and approved 179 applications; 11 applications were denied or withdrawn.

**2. Regulatory Sanctions**

On November 9, 2012, the Division of Securities entered a Final Order against San-Rey Investments, Inc. d/b/a Progressive Mortgage Services and Armando Reyes and Marisol Santiago for engaging in securities business in Florida without being registered and for offering and selling unregistered securities. San-Rey Investments, Inc. d/b/a Progressive Mortgage Services and Armando Reyes and Marisol Santiago were barred from seeking future licensure and/or registration with the Office of Financial Regulation.

**New Issues**

No new issues to report this week.