

GOVERNOR RICK SCOTT

WEEKLY AGENCY REPORT

OFFICE OF FINANCIAL REGULATION

WEEK ENDING DECEMBER 15, 2016

Successes for Week Ending December 15, 2016

1. Licensing and Registration

- Division of Securities
 - Applications Received: 685
 - Applications Approved: 732
 - Applications Denied/Withdrawn: 21

- Division of Consumer Finance
 - Applications Received: 535
 - Applications Approved: 531
 - Applications Denied/Withdrawn: 36

- Division of Financial Institutions
 - Applications Received: 5
 - Applications Approved: 1
 - Applications Denied/Withdrawn: 0

New Issues

No new issues to report this week.