

GOVERNOR RICK SCOTT
WEEKLY EOG AGENCY REPORT
OFFICE OF FINANCIAL REGULATION
WEEK ENDING JANUARY 24, 2013

Successes for Week Ending January 24, 2013

1. Licensing and Registration

- Division of Securities
 - Applications Received: 1,248
 - Applications Approved: 1,224
 - Applications Denied/Withdrawn: 7

- Division of Consumer Finance
 - Applications Received: 441
 - Applications Approved: 473
 - Applications Denied/Withdrawn: 10

New Issues

1. Outreach Activities

On January 16, 2013, Commissioner Breakspear spoke at the Florida Securities Dealers Association (FSDA) Annual Summit in Tallahassee. Topics discussed included an overview of the OFR, proposed legislation, an overview of Division of Securities initiatives and current registration statistics. Approximately 30 members of the securities industry attended the summit.