

# GOVERNOR RICK SCOTT

## WEEKLY AGENCY REPORT

### OFFICE OF FINANCIAL REGULATION

WEEK ENDING FEBRUARY 14, 2013

#### Successes for Week Ending February 14, 2013

##### 1. Licensing and Registration

- Division of Securities
  - Applications Received: 1,049
  - Applications Approved: 1,029
  - Applications Denied/Withdrawn: 6
  
- Division of Consumer Finance
  - Applications Received: 301
  - Applications Approved: 388
  - Applications Denied/Withdrawn: 20
  
- Division of Financial Institutions
  - Applications Received: 1
  - Applications Approved: 4
  - Applications Denied/Withdrawn: 0

##### 2. Regulatory Sanctions

###### Permanent Bar and \$90,000 Fine of Broker Dealer Agent for Fraud

On February 7, 2013, the Division of Securities entered a Final Order against Brent Deviney for engaging in fraudulent securities transactions. Deviney was permanently barred from seeking future licensure and/or registration with the Office of Financial Regulation. A \$90,000 administrative fine was assessed.

#### New Issues

##### 1. Outreach Activities

On February 5, 2013, representatives of the Division of Financial Institutions spoke at the National Association of State Credit Union Supervisors (NASCUS) Directors Conference in Lake Mary, Florida. Approximately 50 participants attended this event.