

GOVERNOR RICK SCOTT

WEEKLY AGENCY REPORT

OFFICE OF FINANCIAL REGULATION

WEEK ENDING MAY 30, 2013

Successes for Week Ending May 30, 2013

1. Licensing and Registration

- Division of Securities
 - Applications Received: 893
 - Applications Approved: 879
 - Applications Denied/Withdrawn: 9

- Division of Consumer Finance
 - Applications Received: 214
 - Applications Approved: 330
 - Applications Denied/Withdrawn: 10

- Division of Financial Institutions
 - Applications Received: 0
 - Applications Approved: 0
 - Applications Denied/Withdrawn: 0

New Issues

1. Outreach Activities

On May 22, 2013, members of the Division of Financial Institutions participated in, and presented at, a Director and Senior Management Workshop. The workshop was sponsored by the Shutts & Bowen Law Firm and was held in Miami, Florida. Topics of the workshop included upcoming regulatory changes and the impact on the industry. Approximately 50 people attended the workshop.

On May 24, 2013, Commissioner Breakspear participated in a Meet and Greet interview with Jean Gruss, Editor of the Lee/Collier Region of the Gulf Coast Business Observer. Topics discussed included OFR successes, operational efficiencies and vision.