

GOVERNOR RICK SCOTT

WEEKLY AGENCY REPORT

OFFICE OF FINANCIAL REGULATION

WEEK ENDING SEPTEMBER 12, 2013

Successes for Week Ending September 12, 2013

1. Licensing and Registration

- Division of Securities
 - Applications Received: 1,131
 - Applications Approved: 1,040
 - Applications Denied/Withdrawn: 8

- Division of Consumer Finance
 - Applications Received: 169
 - Applications Approved: 177
 - Applications Denied/Withdrawn: 17

- Division of Financial Institutions
 - Applications Received: 2
 - Applications Approved: 1
 - Applications Denied/Withdrawn: 0

New Issues

1. Outreach Activities

On September 10, Commissioner Breakspear was the keynote speaker for the monthly meeting of the Ft. Myers Real Estate Investment Society. The Commissioner spoke on the state of the banking and mortgage industry in Florida to an audience of approximately 100 bankers, mortgage brokers, real estate agents, journalists, state representatives and others.

On September 12, 2013 the OFR issued a statement on a settlement agreement reached with Banco Industrial de Venezuela.

[OFR Statement Regarding the Settlement Agreement with the Banco Industrial de Venezuela](#)