

Office of Financial Regulation
Division of Finance

Column 1: Agency Name	Column 2: F.A.C. Rule Number		Column 3: OFARR Reviewed ¹	Column 4: REVENUE RULE/Fund or Account ²						Column 5: Data Collection Rule ³	Column 6: Repeal ⁴	Column 7: Amend ⁴	Column 8: Effective on or before 11/16/2010	Column 9: Effective on or before 11/16/2010: probable 120.541(2)(a) impact ⁵	Notes (Col. 4)	Notes (Col. 5)
				2a: Title, Subtitle, Chapter	2b: Number	4a: Licensure fee	4b: Transport. Tolls	4c: Other Fees	4d: Fines							
	69V															
Possible Answers:			Y or N	Y or N	Y or N	Y or N	Y or N	Y or N	Y or N	Y or N	Y, N, or Exempt	Y, N, or Exempt	Y or N	NA, NP, 1, or 2		
	<u>MORTGAGE BROKERAGE 69V-40</u>															
OFR	Effect of Law Enforcement Records on Applications for Loan Originator, Mortgage Broker, and Mortgage Lender Licensure.	69V-40.00112	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Adoption of Forms	69V-40.002	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Electronic Filing of Forms and Fees	69V-40.003	N	Y	N	Y	N	N	N	Y	N	N	Y	NP	494.0011, 494.00312, 494.00321, 494.00611, 494.00172, 494.00173 - Regulatory T.F.; Mortgage Guaranty T.F.	See Exhibit 1
OFR	Fees and Commissions	69V-40.008	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Misleading Practice; Penalty	69V-40.011	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Demonstrating Character, General Fitness, and Financial Responsibility	69V-40.0113	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Application Procedure for Loan Originator License	69V-40.0312	N	Y	N	Y	N	N	N	Y	N	N	Y	NP	494.0011, 494.00312, 494.00172, 494.00173 - Regulatory T.F., Mortgage Guaranty T.F.	See Exhibit 1
OFR	Loan Originator License Renewal	69V-40.0313	N	Y	N	Y	N	N	N	Y	N	N	Y	NP	494.00313, 215.405, 494.0011, 494.00172, 494.00173 - Regulatory T.F., Mortgage Guaranty T.F.	See Exhibit 1
OFR	Application Procedure for a Mortgage Broker License	69V-40.0321	N	Y	N	Y	N	N	N	Y	N	N	Y	NP	494.0011, 494.00321, 494.00172; 494.00173 - Regulatory T.F., Mortgage Guaranty T.F.	See Exhibit 1
OFR	Mortgage Broker License Renewal	69V-40.0322	N	Y	N	Y	N	N	N	Y	N	N	Y	NP	494.0011, 494.00313, 494.00172 and 494.00173 - Regulatory T.F., Mortgage Guaranty T.F.	See Exhibit 1
OFR	Declaration of Intent to Engage Solely in Loan Processing	69V-40.0331	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Application Procedure for a Mortgage Broker Branch Office License	69V-40.036	N	Y	N	N	N	N	N	Y	N	N	Y	NP	494.0011, 494.0036 - Regulatory T.F.	See Exhibit 1
OFR	Application Procedure for a Mortgage Lender License	69V-40.0611	N	Y	N	Y	N	N	N	Y	N	N	Y	NP	494.0011, 494.00611, 494.00172 and 494.00173 - Regulatory T.F.; Mortgage Guaranty T.F.	See Exhibit 1
OFR	Mortgage Lender License Renewal	69V-40.0612	N	Y	N	Y	N	N	N	Y	N	N	Y	NP	494.0011, 494.00612, 494.0066, 494.00172, 494.00173 - Regulatory T.F., Mortgage Guaranty T.F.	See Exhibit 1
OFR	Application Procedure for a Mortgage Lender Branch Office License	69V-40.066	N	Y	N	N	N	N	N	Y	N	N	Y	NP	494.0011, 494.0066 - Regulatory T.F.	See Exhibit 1
OFR	Amendments, Change of Name, Change of Entity and Change in Control or Ownership	69V-40.099	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1

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	2a: Title, Subtitle, Chapter	2b: Number		4a: Licensure fee	4b: Transport. Tolls	4c: Other Fees	4d: Fines	4e: Tax	4f: Other Revenue							
	69V															
OFR	Disciplinary Guidelines	69V-40.111	N	N	N	N	Y	N	N	N	N	N	Y	NP	494.0011, 494.00255 - Regulatory T.F.	
OFR	Out of State Examination Costs	69V-40.150	N	Y	N	N	N	N	N	N	N	N	Y	NP	494.0012 - Regulatory T.F.	
OFR	Lock-in Statement	69V-40.155	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Third-party Fee Accounts	69V-40.156	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Books and Records	69V-40.170	N	N	N	N	Y	N	N	N	N	N	Y	NP	494.0011, 494.00255 - Regulatory T.F.	
OFR	Mortgage Brokerage Files	69V-40.175	N	N	N	N	Y	N	N	N	N	Y	Y	NP	494.0011, 494.00255 - Regulatory T.F.	
OFR	Mortgage Brokerage and Lending Transaction Journal	69V-40.177	N	N	N	N	Y	N	N	N	6/30/2012	N	Y	NP	494.0011, 494.00255 - Regulatory T.F.	
OFR	Mortgage Lender Files	69V-40.260	N	N	N	N	Y	N	N	N	N	Y	Y	NP	494.0011, 494.00255 - Regulatory T.F.	
OFR	Mortgage Brokerage and Lending Transaction Journal	69V-40.265	N	N	N	N	Y	N	N	N	N	Y	Y	NP	494.0011, 494.00255 - Regulatory T.F.	
OFR	Financial Guaranty in Lieu of Uniform Single Audit	69V-40.270	N	N	N	N	Y	N	N	N	N	N	Y	NP	494.0011, 494.00255, 494.0076 - Regulatory T.F.	
OFR	Noninstitutional Investor Funds Account	69V-40.285	N	N	N	N	N	N	N	N	N	N	Y	NP		
	TITLE LOAN COMPANIES 69V-45															
OFR	Application Procedure for Title Loan Lender	69V-45.005	N	Y	N	Y	N	N	N	Y	N	N	Y	NP	537.004, 537.004 - Regulatory T.F.	See Exhibit 1
OFR	Demonstrated Unworthiness Defined	69V-45.010	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Title Loan Lender License Renewal and Reactivation	69V-45.015	N	Y	N	N	N	N	N	Y	N	N	Y	NP	537.004 - Regulatory T.F.	See Exhibit 1
OFR	Documentation of Surety Bond, Letter of Credit, or Certificate of Deposit	69V-45.025	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
	MOTOR VEHICLE SALES FINANCE 69V-50															
OFR	Miscellaneous Charges	69V-50.001	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Excessive Charges, Correction	69V-50.002	N	N	N	N	N	N	N	N	6/30/2012	N	Y	NP		
OFR	Insurance Cancellation	69V-50.005	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Contracts for Purchase of Mobile Homes in Conjunction with Real Estate Transactions	69V-50.007	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Prepaid Finance Charge	69V-50.075	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Calculation of Finance Charge for Contracts Providing for Unequal or Irregular Installment Payments	69V-50.080	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Disclosures Required by Section 520.07(3), F.S	69V-50.085	N	N	N	N	N	N	N	N	N	N	Y	NP		
	RETAIL INSTALLMENT SALES 69V-60															
OFR	Miscellaneous Charges	69V-60.001	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Excessive Charges, Correction	69V-60.003	N	N	N	N	N	N	N	N	6/30/2012	N	Y	NP		

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	69V															
OFR	Insurance Cancellation	69V-60.004	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Exemptions	69V-60.075	N	N	N	N	N	N	N	N	N	N	Y	NP		
	<u>SALES FINANCE 69-70</u>															
OFR	Excessive Charges, Corrections	69V-70.002	N	N	N	N	N	N	N	N	6/30/2012	N	Y	NP		
	<u>HOME IMPROVEMENT SALES AND FINANCE 69V-80</u>															
OFR	Excessive Charges, Corrections	69V-80.002	N	N	N	N	N	N	N	N	6/30/2012	N	Y	NP		
OFR	Completion Certificates	69V-80.003	N	N	N	N	N	N	N	N	N	N	Y	NP		
	<u>RETAIL INSTALLMENT SALES ACT - GENERAL RULES 69V-85</u>															
OFR	Examination Fees	69V-85.001	N	Y	N	N	N	N	N	N	N	N	Y	NP	520.996, 520.998 - Regulatory T.F.	
OFR	Application Forms, Fees, Procedures and Requirements.	69V-85.002	N	Y	N	N	N	N	N	Y	N	N	Y	NP	520.03, 520.32, 520.52, 520.63, 520.998 - Regulatory T.F.	See Exhibit 1
OFR	Branch Application Forms, Fees, Procedures and Requirements.	69V-85.003	N	Y	N	N	N	N	N	Y	N	N	Y	NP	520.03, 520.32, 520.52, 520.63, 520.998 - Regulatory T.F.	See Exhibit 1
OFR	Renewal Fees, Deadlines and Requirements.	69V-85.004	N	Y	N	N	N	N	N	Y	N	N	Y	NP	520.03, 520.32, 520.52, 520.63, 520.998 - Regulatory T.F.	See Exhibit 1
OFR	Amendments, Change of Name, Change of Entity and Change in Control or Ownership.	69V-85.005	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Electronic Filing of Forms and Fees	69V-85.006	N	Y	N	N	N	N	N	Y	N	N	Y	NP	520.994(5) - Regulatory T.F.	See Exhibit 1
OFR	Disciplinary Guidelines	69V-85.111	N	N	N	N	Y	N	N	N	N	N	Y	NP	520.995(2), 520.998 - Regulatory T.F.	
OFR	Interest Rate Parity	69V-85.300	N	N	N	N	N	N	N	N	N	N	Y	NP		
	<u>FLORIDA CONSUMER FINANCE ACT 69V-160</u>															
OFR	Licensee Defined	69V-160.001	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Insurance Companies and Agents	69V-160.008	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Deed or Other Conveyance as Collateral	69V-160.012	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Disbursement Record	69V-160.013	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Explaining and Returning Loan Papers	69V-160.014	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Charges	69V-160.015	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Computations	69V-160.016	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Application of Payments	69V-160.017	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Deductions and Distributions	69V-160.018	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Monthly Installments Defined	69V-160.019	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Overcharges	69V-160.020	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Property Insurance on Loans	69V-160.025	N	N	N	N	N	N	N	N	N	Y	Y	NP		
OFR	Monthly Billing Statements as Receipts for Payment	69V-160.027	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Form of Records Kept by Licensee	69V-160.028	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Other Business Conducted by Licensee	69V-160.029	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Application Procedure for Consumer Finance License	69V-160.030	N	Y	N	N	N	N	N	Y	N	N	Y	NP	516.03 - Regulatory T.F.	See Exhibit 1

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	69V															
OFR	Consumer Finance License Renewal and Reactivation	69V-160.031	N	Y	N	N	N	N	N	Y	N	N	Y	NP	516.03 - Regulatory T.F.	See Exhibit 1
OFR	Amendments, Change of Name, Change of Entity, and Change in Control or Ownership	69V-160.032	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Failure to Demonstrate Financial Responsibility Defined	69V-160.034	N	N	N	N	N	N	N	N	6/30/2012	N	Y	NP		
OFR	Failure to Demonstrate Character or General Fitness Defined	69V-160.035	N	N	N	N	N	N	N	N	6/30/2012	N	Y	NP		
OFR	Electronic Filing of Forms and Fees	69V-160.036	N	Y	N	N	N	N	N	Y	N	N	Y	NP	516.03 - Regulatory T.F.	See Exhibit 1
OFR	Disciplinary Guidelines	69V-160.111	N	N	N	N	Y	N	N	N	N	N	Y	NP	516.07 - Regulatory T.F.	
	COLLECTION AGENCIES 69V-180															
OFR	Commercial Collection Surety Bond and Procedures	69V-180.010	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Commercial Collection Registration Form and Procedures	69V-180.020	N	Y	N	N	N	N	N	Y	N	N	Y	NP	559.545 - Regulatory T.F.	See Exhibit 1
OFR	Consumer Collection Registration Form and Procedures	69V-180.030	N	Y	N	N	N	N	N	Y	N	N	Y	NP	559.555 - Regulatory T.F.	See Exhibit 1
OFR	Consumer Collection Agency Complaint Form and Procedures	69V-180.040	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Consumer Collection Agency Registration Renewal Form and Procedures	69V-180.050	N	Y	N	N	N	N	N	Y	6/30/2012	N	Y	NP	559.555 - Regulatory T.F.	See Exhibit 1
OFR	Commercial Collection Agency Registration Renewal Form and Procedures	69V-180.060	N	Y	N	N	N	N	N	Y	6/30/2012	N	Y	NP	559.545 - Regulatory T.F.	See Exhibit 1
OFR	Consumer Collection Agency Records	69V-180.080	N	N	N	N	N	N	N	N	N	N	N	NA		
OFR	Consumer Collection Agency Records and Destruction	69V-180.090	N	N	N	N	N	N	N	N	N	N	N	NA		
OFR	Guidelines for Imposing Administrative Penalties	69V-180.100	N	N	N	N	Y	N	N	N	N	N	N	NA	559.730 - Regulatory T.F.	
	MONEY TRANSMITTERS 69V-560															
OFR	Disciplinary Guidelines	69V-560.1000	N	N	N	N	Y	N	N	N	N	N		NP	560.114, 560.1141, 560.144 - Regulatory T.F.	
OFR	Adoption of Forms	69V-560.1012	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Electronic Filing of Forms and Fees	69V-560.1013	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Application or Appointment Procedures and Requirements	69V-560.102	N	Y	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Effect of Law Enforcement Records on Applications for Money Services Business Licensure	69V-560.1021	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Definitions	69V-560.103	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Registration of Locations and Appointment of Authorized Vendors	69V-560.107	N	Y	N	N	N	N	N	Y	N	N	Y	NP	560.141, 560.143 - Regulatory T.F.	See Exhibit 1
OFR	Declaration of Intent to Engage in Deferred Presentment Transactions	69V-560.108	N	Y	N	N	N	N	N	Y	N	N	Y	NP	560.403, 560.143 - Regulatory T.F.	See Exhibit 1

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	69V															
OFR	Requirements	69V-560.201	N	Y	N	N	N	N	N	Y	N	N	Y	NP	560.126, 560.143 - Regulatory T.F.	See Exhibit 1
OFR	Renewal Fees, Deadlines, and Requirements	69V-560.302	N	Y	N	N	N	N	N	N	N	Y	Y	NP	560.142, 560.143 - Regulatory T.F.	
OFR	Bond	69V-560.402	N	N	N	N	N	N	N	Y	N	N	Y			See Exhibit 1
OFR	Net Worth	69V-560.403	N	N	N	N	N	N	N	Y	6/30/2012	N	Y	NP		See Exhibit 1
OFR	Reimbursement Rates for Examinations Conducted by the Office	69V-560.504	N	Y	N	Y	N	N	N	N	N	N	Y	NP	560.1091, 560.1092, 112.061 - Regulatory T.F.	
OFR	Reimbursement Rates for Examinations Conducted by a Third Party	69V-560.505	N	Y	N	Y	N	N	N	N	N	N	Y	NP	560.1091, 560.1092, 112.061 - Regulatory T.F.	
OFR	Quarterly Reports	69V-560.602	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Annual Filing of Financial Audit Reports by Part II Licensees	69V-560.606	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Currency Transaction Report Filings	69V-560.608	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Suspicious Activity Report Filings	69V-560.609	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Report of International Transportation of Currency or Monetary Instruments	69V-560.610	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Payment Instrument Sellers	69V-560.702	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Money Transmitters	69V-560.703	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Records to Be Maintained by Check Cashers	69V-560.704	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Foreign Currency Exchangers	69V-560.705	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Records to be Maintained by Authorized Vendors	69V-560.706	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Records to be Maintained by Deferred Presentment Providers	69V-560.707	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Verification Fee	69V-560.801	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Minimum Disclosure	69V-560.802	N	N	N	N	N	N	N	N	6/30/2012	N	Y	NP		
OFR	Payment Method	69V-560.804	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Gross Income Test	69V-560.805	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Scope	69V-560.901	N	N	N	N	N	N	N	N	6/30/2012	N	Y	NP		
OFR	Definitions	69V-560.902	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Deferred Presentment Transactions	69V-560.903	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Transaction Agreement Disclosures and Requirements	69V-560.904	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Transaction Fees	69V-560.905	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Consumer Credit Counseling Services	69V-560.906	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Database Access	69V-560.907	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Database Transaction Requirements	69V-560.908	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Database Availability	69V-560.909	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1
OFR	Database Transaction Fees	69V-560.910	N	N	N	Y	N	N	N	N	N	N	Y	NP	560.404(23) - Regulatory T.F.	
OFR	Database Dispute Resolution for Customers	69V-560.911	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Database Confidentiality	69V-560.912	N	N	N	N	N	N	N	N	N	N	Y	NP		
OFR	Termination of Deferred Presentment Activity; Database Maintenance	69V-560.913	N	N	N	N	N	N	N	Y	N	N	Y	NP		See Exhibit 1