

GOVERNOR RICK SCOTT

WEEKLY AGENCY REPORT

OFFICE OF FINANCIAL REGULATION

WEEK ENDING July 28, 2016

Successes for Week Ending July 28, 2016

1. Licensing and Registration

- Division of Securities
 - Applications Received: 1,016
 - Applications Approved: 993
 - Applications Denied/Withdrawn: 18

- Division of Consumer Finance
 - Applications Received: 248
 - Applications Approved: 237
 - Applications Denied/Withdrawn: 14

- Division of Financial Institutions
 - Applications Received: 3
 - Applications Approved: 0
 - Applications Denied/Withdrawn: 0

2. Regulatory Sanctions

Denial of Associated Person

On July 22, 2016, the Division of Securities entered a final order against David Kenneth Snyder, denying his applications for registration as an associated person, after he failed to request a hearing. The final order follows a notice of intent to deny, which alleged David Kenneth Snyder made a material misrepresentation or misstatement on his applications for registration.

New Issues

1. Communications and Outreach

On July 18, 2016, OFR Bureau of Financial Investigations Steve Horn conducted an on-camera interview regarding a real estate scam.

[WKMG: Bureau of Financial Investigations Chief Horn: Real Estate Scam](#)

On July 25, 2016, OFR Director of Communications & Governmental Relations Jamie Mongiovi conducted an on-camera interview regarding a scam using the OFR brand.

[WKMG: Consumer Alert: State notices threaten fines, jail](#)

On July 26, 2016, the OFR issued a press release applauding the sentencing of a Sarasota man for his role in an investment scam.

[Press Release: Sarasota Man Sentenced for Role in Faked Investment Scam](#)