

**OFFICE OF FINANCIAL REGULATION
SECURITIES OFFERINGS STATISTICS**

NOTICE FILINGS												
	04-05	05-06	06-07	07-08	08-09	09-10	10-11	11-12	12-13	13-14	14-15	15-16
Received	169	184	159	120	68	98	116	134	110	107	112	106
Approved	137	148	140	118	79	77	115	108	107	92	103	103
Withdrawn	45	30	36	76	17	6	18	21	27	9	7	5
Denied	0	0	0	0	0	0	0	1	1	4	6	1
INTRA-STATE FILINGS												
	04-05	05-06	06-07	07-08	08-09	09-10	10-11	11-12	12-13	13-14	14-15	15-16
Received	14	3	5	4	1	5	9	12	10	4	3	7
Approved	4	2	2	2	2	2	2	2	3	2	2	2
Withdrawn	14	3	1	3	1	1	7	9	7	1	1	3
Denied	0	0	0	0	0	0	0	0	1	1	1	0