

To be published in the April 27, 2012 issue of the FAW (Vol. 38, No. 17):

Miscellaneous

FINANCIAL SERVICES COMMISSION, OFFICE OF FINANCIAL REGULATION

Securities

Notice of Publication of Compliance Economic Reviews

RULE NO.: RULE TITLE:

[69W-600.0132](#): Custody Requirements for Investment Advisers

[69W-600.015](#): Financial Reporting Requirements - Statement of Financial Condition - Dealers and Investment Advisers

[69W-600.016](#): Net Capital Requirements for Dealers and Investment Advisers

The Financial Services Commission, Office of Financial Regulation, has published Compliance Economic Reviews for its Group 1 rules, pursuant to Section 120.745, F.S. This notice and the publications were first published on the Office's website on April 20, 2012 and may be accessed at http://www.flofr.com/2011_Rule_Review.aspx.

Interested parties may submit lower cost regulatory alternatives no later than June 15, 2012 to John Kim, Office of Financial Regulation, 200 E. Gaines St., Suite 645, Tallahassee, FL 32399, Fax: (850) 410-9748, E-mail:

john.kim@flofr.com.